

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Christopher E. Williams, Mark I. GunningApplication No./Patent No.: U.S. Pat. No. 6796941 Filed/Issue Date: 09/28/2004Entitled: BRAIN RESCUE INSTRUMENT AND METHOD

Natus Medical Incorporated

(Name of Assignee)

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: the inventors To: AUCKLAND UNISERVICES LIMITED

The document was recorded in the United States Patent and Trademark Office at  
Reel 015680, Frame 0974, or for which a copy thereof is attached.

2. From: AUCKLAND UNISERVICES LIMITED To: TRU-TEST CORPORATION LIMITED

The document was recorded in the United States Patent and Trademark Office at  
Reel 018120, Frame 0837, or for which a copy thereof is attached.

3. From: TRU-TEST CORPORATION LIMITED To: BRAINZ INSTRUMENTS LIMITED

The document was recorded in the United States Patent and Trademark Office at  
Reel 018087, Frame 0133, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/D. Christopher Chung MD/

Signature

2/3/2009

Date

Dr. D. Christopher Chung M.D.

Printed or Typed Name

Telephone Number

Vice President of Medical Affairs, R&D & Engineering

Title

**SUPPLEMENTAL SHEET FOR STATEMENT UNDER 37 CFR 3.73(b)**

4. From BRAINZ INSTRUMENTS LIMITED To: NATUS MEDICAL INCORPORATED  
The document was recorded in the United States Patent and Trademark Office at  
Reel 022137, Frame0412, or for which a copy thereof is attached.